

**ADMINISTRATIVE PROCEEDING  
BEFORE THE  
SECURITIES COMMISSIONER OF SOUTH CAROLINA**

|                            |   |                           |
|----------------------------|---|---------------------------|
| <b>IN THE MATTER OF:</b>   | ) |                           |
|                            | ) | <b>CONSENT ORDER</b>      |
| <b>Trevon James Brown,</b> | ) |                           |
|                            | ) | <b>Matter No. 2018480</b> |
| <u>Respondent.</u>         | ) |                           |

**I. PRELIMINARY STATEMENT**

This Consent Order is entered into between the Securities Division of the Office of the Attorney General of South Carolina (the “Division”) and Trevon James Brown (the “Respondent”) in order to resolve the allegations against the Respondent set forth in the July 17, 2020, Order to Cease and Desist issued under Matter No. 2018480<sup>1</sup> (the “Order to Cease and Desist”), which alleged that certain conduct of the Respondent and others violated the provisions of the South Carolina Uniform Securities Act of 2005, S.C. Code Ann. § 35-1-101, *et seq.* (the “Act”).

Pursuant to the authority granted to the Securities Commissioner of South Carolina (the “Securities Commissioner”) under the Act and delegated to the Division by the Securities Commissioner, the Division conducted an investigation (the “Investigation”) into the securities-related activities of the Respondent. In connection with its Investigation, the Division determined that certain acts of the Respondent constituted violations of the Act, and as a result, the Division issued the Order to Cease and Desist against the Respondent for these alleged violations.

Without admitting or denying the findings of fact and conclusions of law set forth in the Order to Cease and Desist, except as to the jurisdiction of the Securities Commissioner over the Respondent and the subject matter of this proceeding, which are admitted, the Respondent, having

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<sup>1</sup> *In the matter of Trevon James Brown – Order to Cease and Desist*, Matter No. 2018480 (July 17, 2020) ([http://2hsvz0l74ah31vgcm16peuy12tz.wpengine.netdna-cdn.com/wp-content/uploads/2020/07/2020\\_07\\_17\\_In-the-matter-of-Trevon-James-Brown-cease-and-desist.pdf](http://2hsvz0l74ah31vgcm16peuy12tz.wpengine.netdna-cdn.com/wp-content/uploads/2020/07/2020_07_17_In-the-matter-of-Trevon-James-Brown-cease-and-desist.pdf)).

been advised of his right to counsel, expressly consents to the entry of this Consent Order, which resolves the allegations against him set forth in the Order to Cease and Desist. The Respondent elects to waive permanently any right to a hearing and appeal under S.C. Code Ann. § 35-1-609, with respect to this Consent Order.

## **II. JURISDICTION**

1. The Securities Commissioner has jurisdiction over this matter pursuant to S.C. Code Ann. § 35-1-601(a).

## **III. FINDINGS OF FACT**

2. Respondent is a resident of the State of South Carolina.
3. The findings of fact and conclusions of law set forth in the Order to Cease and Desist are incorporated herein by reference.

## **VI. ORDER**

The Securities Commissioner finds the investor protections and remedies in this Consent Order both appropriate and in the public interest for the protection of the investors and the capital markets of the State of South Carolina.

Accordingly, it is hereby **ORDERED** that:

- A. The Respondent expressly consents and agrees that the Respondent and every agent, servant, and employee of the Respondent, and every entity owned, operated, or indirectly or directly controlled by or on behalf of the Respondent will **CEASE AND DESIST** from transacting business in this State in violation of the Act;
- B. The Respondent expressly consents and agrees that he is **PERMANENTLY BARRED** from participating in any aspect of the securities industry in or from the State of South Carolina.

C. The Respondent shall pay a civil penalty in the amount of fifteen thousand dollars (\$15,000.00) to the Division, payable over twenty four (24) months in the amount of six hundred twenty-five dollars (\$625.00) per month, with payment due the fifteenth (15th) of each month beginning the month following the date of execution of this Consent Order until the full amount of fifteen thousand dollars (\$15,000.00) has been paid to the Division. Payment not received within five (5) days of the due date will be considered past due and place the Respondent in default of this Consent Order. In the event of default, the Securities Commissioner will, at his discretion, have the ability to vacate this Consent Order, and the Respondent agrees that the Order to Cease and Desist and the civil penalty set forth therein will be reinstated and be a final order by operation of law. In addition, the Division will have the ability to pursue further action, including, but not limited to, the relief provided in S.C. Code Ann. §35-1-604(g).

Upon execution by the Securities Commissioner, this Consent Order resolves Matter No. 2018480 as to the Respondent Trevon James Brown. The Division has the right to initiate a new investigation should additional information or facts come to light that would warrant further activity by the Division.

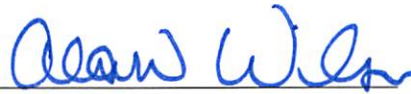
As part of this Consent Order, the Respondent agrees that he: (i) will not take any action or make or permit to be made any public statement denying, directly or indirectly, any allegation in this Consent Order or creating the impression that this Consent Order is without factual basis; and (ii) will not make or permit to be made any public statement to the effect that the Respondent does not admit the allegations of this Consent Order, or that this Consent Order contains no admission of the allegations, without also stating that the Respondent does not deny the allegations. If the Respondent breaches this agreement, the Securities Commissioner may vacate this Consent

Order, and the Order to Cease and Desist and the civil penalty set forth therein will be reinstated and be a final order by operation of law. Nothing in this paragraph affects the Respondent's: (i) testimonial obligations or (ii) right to take differing legal or factual positions in litigation or other legal proceedings.


This Consent Order should not be interpreted to waive any (i) criminal cause of action, (ii) private cause of action that may have accrued to investors as a result of the activities detailed in the Order to Cease and Desist, or (iii) other causes of action that may result from activities of the Respondent not detailed in the Order to Cease and Desist.

**ENTERED**, this the 17<sup>th</sup> day of November, 2020.

ALAN WILSON  
SECURITIES COMMISSIONER


By:   
ALAN WILSON  
Securities Commissioner  
State of South Carolina

Respondent Trevon James Brown:

  
\_\_\_\_\_  
Trevon James Brown

Date: 11-17-2020

South Carolina Attorney General's Office Securities Division:

By:   
Jonathan B. Williams  
Assistant Deputy Attorney General

Date: 11/20/20