

**ADMINISTRATIVE PROCEEDING  
BEFORE THE  
SECURITIES COMMISSIONER OF SOUTH CAROLINA**

<b>IN THE MATTER OF:</b>	)	<b>SUMMARY SUSPENSION</b>
	)	
<b>Cape Securities, Inc.</b>	)	
<b>CRD #7072,</b>	)	
	)	
<b>Respondent.</b>	)	<b>File Number: 13069</b>
<hr/>		

WHEREAS, the Securities Division of the Office of the Attorney General of the State of South Carolina has been authorized and directed by the Securities Commissioner of South Carolina (the "Securities Commissioner") to administer the provisions of S.C. Code Ann. § 35-1-101, *et seq.*, the South Carolina Uniform Securities Act of 2005 (the "Act"); and

WHEREAS, pursuant to S.C. Code Ann. § 35-1-412(f), the Securities Commissioner may summarily suspend a respondent's registration before the final determination of an administrative proceeding;

NOW THEREFORE, upon due consideration of the subject matter contained in a related administrative proceeding under the Act having the same file number, **13069**, and having reason to believe that the Respondent violated certain provisions of the Act and the Rules promulgated thereunder;

**IT IS HEREBY ORDERED** that, pursuant to S.C. Code Ann. § 35-1-412(f), the broker-dealer registration of Cape Securities, Inc. has been and is **SUMMARILY SUSPENDED**. This suspension will remain in effect pending resolution of the related proceeding.

**NOTICE AND OPPURTUNITY FOR A HEARING**

Pursuant to S.C. Code Ann. § 35-1-412(f), Respondent is hereby given notice that it may request a hearing on the summary suspension by giving written notice to Thresechia Navarro, Post Office Box 11549, Rembert C. Dennis Building, Columbia, South Carolina 29211-1549. In the event such a hearing is requested, within fifteen (15) business days after the receipt of a written request the matter will be set down for hearing. In the event such written notice is not received, this Order remains in effect until it is modified or vacated in accordance with the Act.

**ENTERED**, this the 29<sup>th</sup> day of August, 2013.  
Columbia, South Carolina

By: Tracy Meyers  
TRACY A. MEYERS  
Assistant Deputy Attorney General  
Post Office Box 11549  
Columbia, South Carolina 29211