

ADMINISTRATIVE PROCEEDING

BEFORE THE

SECURITIES COMMISSIONER OF SOUTH CAROLINA

IN THE MATTER OF:)	CONSENT ORDER
)	
)	
TRACY L. NEILY (a/k/a Tracy Atwell) and)	FILE NO. 12041
PROFESSIONAL PLANNING)	
OF EASLEY, LLC.,)	
)	
)	
RESPONDENTS .)	

WHEREAS, the Securities Division of the South Carolina Office of the Attorney General (the "Division"), pursuant to authority granted in the South Carolina Uniform Securities Act of 2005, S.C. Code Ann. § 35-1-10 *et. seq.* (the "Act"), initiated an investigation into the securities-related activities of Tracy L. Neily and Professional Planning of Easley, LLC (collectively, "Respondents") conducted in and from South Carolina; and

WHEREAS, on or about October 29, 2012, the Division issued a Complaint alleging the Respondents engaged in acts, practices, and transactions constituting violations of the Act; and

WHEREAS, the Complaint seeks to have the Respondents permanently barred from engaging in the securities industry in or from the State of South Carolina; and

WHEREAS, the Complaint seeks imposition of civil penalties on each Respondent in an amount of ten thousand dollars (\$10,000.00) for each violation of the Act and each violation of any rule or order promulgated by the Securities Commissioner; and

WHEREAS, Respondents, without admitting or denying the factual allegations of the Complaint, acknowledge their desire to resolve the Complaint by Consent Order rather than by a formal hearing before the Securities Commissioner (the "Commissioner"); and

WHEREAS, Respondents understand they have a right to a hearing on the Complaint and have waived that right; and

WHEREAS, Respondents admit the Securities Commissioner has jurisdiction in this matter; and

WHEREAS, the Commissioner finds the investor protections and remedies in this Consent Order both appropriate and in the public interest, for the protection of the investors and the capital markets of the State of South Carolina;

NOW, THEREFORE, IT IS HEREBY ORDERED, and Respondents expressly consent and agree that:

- A. Effective immediately, Respondent Tracy L. Neily is permanently barred from participating in any aspect of the securities industry in or from the State of South Carolina; and
- B. Effective immediately, Respondent Tracy L. Neily is permanently barred from allowing any person under her direction or control to participate in any aspect of the securities industry in or from the State of South Carolina; and
- C. Effective immediately, Respondent Professional Planning of Easley, LLC is permanently barred from participating in any aspect of the securities industry in or from the State of South Carolina.

In addition, the Securities Commissioner hereby finds that it is in the public interest for the Division not to levy any fines or seek reimbursement of any costs in this matter so that any and all monies recovered from the Respondents in any or all of the below-listed actions, or in any other matter, can be used to reimburse investors in this matter.

Upon execution by the Commissioner, this Order resolves Administrative Proceeding 12041 as it relates to Respondents.

The parties to this Order agree the Order does not and should not be interpreted to waive any (i) criminal cause of action, (ii) private cause of action that may have accrued to any investor or investors as a result of Respondents' participation in the activities described in the Complaint in Administrative Proceeding 12041, (iii) action of any kind in any type of bankruptcy proceeding(s), or (iv) other causes of action which may result from any activity of Respondents not detailed above or which may hereafter arise.

IT IS SO ORDERED this 19 day of April, 2013.

By: Alan Wilson
Alan Wilson
Securities Commissioner
State of South Carolina

I FREELY AND VOLUNTARILY CONSENT TO THE TERMS SET FORTH ABOVE:

By: Tracy L. Neily
Tracy L. Neily (a/k/a Tracy Atwell),
on behalf of herself and of
Professional Planning of Easley, LLC

Date: 4/3/2013

WE CONSENT:

Securities Division of the Office of the Attorney General

By: Tracy A. Meyers
Tracy A. Meyers
Assistant Deputy Attorney General

Date: 4/16/13