

ADMINISTRATIVE PROCEEDING
BEFORE THE
SECURITIES COMMISSIONER OF SOUTH CAROLINA

IN THE MATTER OF:)	
)	CONSENT ORDER
James Mandel Hawkins,)	
)	
Respondent.)	File Number: 11022

WHEREAS, the Securities Division of the Office of the Attorney General of the State of South Carolina (the “Division”), after receiving information and pursuant to authority granted in the South Carolina Uniform Securities Act of 2005 (the “Act”), S.C. Code Ann. § 35-1-10 *et. seq.* (Supp. 2012), initiated an investigation into James Mandel Hawkins (the “Respondent”); and

WHEREAS, in connection with its investigation, the Division determined that the Respondent engaged, was engaging, or was about to engage in certain acts constituting violations of the Act, and the Division issued an Order to Cease and Desist against the Respondent for these alleged violations; and

WHEREAS, the Respondent, without admitting or denying the factual allegations of the Order to Cease and Desist, except as to the Securities Commissioner’s (the “Commissioner”) jurisdiction over him and the subject matter of these proceedings, which are admitted, acknowledges his desire to resolve the Order to Cease and Desist by Consent Order rather than by a exercising his right to formal hearing before the Commissioner; and

WHEREAS, the Respondent agrees to the investor protections and remedies set forth below; and

WHEREAS, the Commissioner finds the investor protections and remedies in this

Consent Order both appropriate and in the public interest, for the protection of the investors and the capital markets of the State of South Carolina;

NOW THEREFORE, it is hereby **ORDERED**, and the Respondent expressly consents and agrees that:

A. The Respondent and every successor, affiliate, control person, agent, servant, and employee of the Respondent and every entity owned, operated, or indirectly or directly controlled by or on behalf of the Respondent **CEASE AND DESIST** from engaging in the acts set forth in the Order to Cease and Desist; and

B. Within sixty (60) days of the Commissioner's execution of this Order, the Respondent shall pay a fine in the amount of **Ten Thousand Dollars (\$10,000)** to the Division.

Upon execution by the Commissioner, this Consent Order resolves Administrative Proceeding **11022**. This Order should not be interpreted to waive any (i) criminal cause of action; (ii) private cause of action that may have accrued to any investor or investors as a result of the Respondent's participation in the activities described in the Order to Cease and Desist; or (iii) other causes of action which may result from activities of the Respondent not detailed in the order to cease or which may hereafter arise.

IT IS SO ORDERED this 31 day of March, 2014.


By: Alan Wilson
The Honorable Alan Wilson
Securities Commissioner
State of South Carolina

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WE CONSENT:

SECURITIES DIVISION OF THE OFFICE OF THE ATTORNEY GENERAL


By: 

Ian P. Weschler
Assistant Attorney General
Securities Division

Date: 3/31/2014

Respondent:

JAMES MANDEL HAWKINS

By: 

James Mandel Hawkins

Date: 3/26/14