

**ADMINISTRATIVE PROCEEDING  
BEFORE THE  
SECURITIES COMMISSIONER OF SOUTH CAROLINA**

**IN THE MATTER OF:**

**Lawson Financial Corporation,  
CRD No. 15261,**

**Respondent.**

---

**ADMINISTRATIVE ORDER**

**Matter No. 17010**

**WHEREAS**, Lawson Financial Corporation, CRD No. 15261 (the “Respondent”) was a broker-dealer registered with the Securities Division of the Office of the Attorney General of South Carolina (the “Division”), pursuant to S.C. Code Ann. § 35-1-402, between May of 1997 and February of 2017; and

**WHEREAS**, the Financial Industry Regulatory Authority (“FINRA”) is a self-regulatory organization, and, between August of 1984, and January of 2017, the Respondent was a member of FINRA; and

**WHEREAS**, on or about January 31, 2017, FINRA, under Disciplinary Proceeding No. 2014043854401, expelled the Respondent from FINRA membership; and

**WHEREAS**, the Securities Commissioner of the State of South Carolina (the “Securities Commissioner”) has jurisdiction over this matter pursuant to S.C. Code Ann. § 35-1-601(a); and

**WHEREAS**, S.C. Code Ann. § 35-1-412(b) authorizes the Securities Commissioner to issue an order revoking, suspending, conditioning, or limiting the registration of a registrant if the Commissioner finds that the order is in the public interest and subsection (d) of S.C. Code Ann. § 35-1-412 authorizes the action; and

**WHEREAS**, S.C. Code Ann. § 35-1-412(c) authorizes the Securities Commissioner to issue an order censuring, barring, or imposing a civil penalty in an amount not to exceed \$10,000 for each violation, on a registrant, if the Commissioner finds that the order is in the public interest and subsection (d) of S.C. Code Ann. § 35-1-412 authorizes the action; and

**WHEREAS**, S.C. Code Ann. § 35-1-412(d)(5)(C) states that a person who:

is the subject of an order, issued after notice and opportunity for hearing [by] the Securities and Exchange Commission or a self-regulatory organization suspending or expelling the registrant from membership in the self-regulatory organization

is subject to discipline pursuant to S.C. Code Ann. §§ 35-1-412(a), (b), and (c); and

**WHEREAS**, FINRA issued a complaint against Respondent and, after notice and opportunity for hearing, expelled the Respondent from FINRA membership; and

**WHEREAS**, it is necessary and appropriate, in the public interest, for the protection of investors, and consistent with the purposes fairly intended by the policy and provisions of the South Carolina Uniform Securities Act, S.C. Code Ann. § 35-1-101, *et seq.*, to revoke the Respondent's registration with the Division as a broker-dealer and permanently bar the Respondent from the securities business in the State of South Carolina;

**NOW THEREFORE**, it is hereby **ORDERED** that the Respondent's registration as a broker-dealer in South Carolina is immediately revoked and the Respondent is permanently barred from engaging in any aspect of the securities business in the State of South Carolina; and

**IT IS FURTHER ORDERED** that the Respondent's failure to file a written answer, including any request for a hearing, within thirty (30) days from the date of this Order, shall be

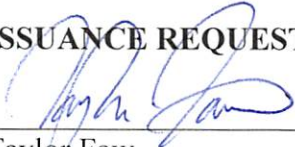
deemed a waiver by the Respondent of the right to a hearing and shall result in this Order becoming final as to the Respondent by operation of law.

ENTERED, this the 6<sup>th</sup> day of February, 2017.

ALAN WILSON  
SECURITIES COMMISSIONER

By: Tracy Meyers  
TRACY A. MEYERS  
Deputy Securities Commissioner

**ISSUANCE REQUESTED BY:**

  
\_\_\_\_\_  
Taylor Faw  
Assistant Attorney General  
Securities Division  
Rembert C. Dennis Building  
1000 Assembly Street  
Columbia, South Carolina 29201

STATE OF SOUTH CAROLINA  
OFFICE OF THE ATTORNEY GENERAL  
SECURITIES DIVISION

CERTIFICATE OF SERVICE AND  
AFFIDAVIT OF COMPLIANCE

File Number 17010

I hereby certify that I served upon the individual/entity listed below a copy of the document indicated below and dated February 6, 2017, by serving a copy of said document upon the Securities Commissioner of the State of South Carolina and by placing a copy of said document in the United States mail, certified mail, return receipt requested, first class postage prepaid and addressed to:

Lawson Financial Corporation  
Attn: Robert W. Lawson, President & CEO  
3352 E. Camelback Road  
Phoenix, AZ 85018

Document(s): Administrative Order

Mailed February 7, 2017 from Columbia, South Carolina.

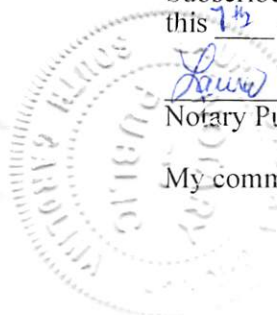
I further hereby certify, swear and affirm that, service of the above-listed entity is in compliance with Section 35-1-611, Code of Laws of South Carolina.

By: Thresechia P. Navarro  
Thresechia P. Navarro  
South Carolina Attorney General's Office  
Securities Division  
Post Office Box 11549  
Columbia, SC 29211-1549  
(803) 734-4731

Subscribed and sworn to before me on  
this 7<sup>th</sup> day of February, 2017.

Laura Atocha Chum  
Notary Public for South Carolina

My commission expires: 3-10-18



REPUBLIC OF THE PHILIPPINES  
DEPARTMENT OF EDUCATION  
BUREAU OF EDUCATION

Office of the Director  
Department of Education  
Bureau of Education

TO: The Director, Bureau of Education  
FROM: The Director, Bureau of Education  
SUBJECT: [Illegible]

[Illegible text]

[Illegible text]

[Illegible text]

[Illegible text]

[Illegible text]

