

**ADMINISTRATIVE PROCEEDING
BEFORE THE
SECURITIES COMMISSIONER OF SOUTH CAROLINA**

IN THE MATTER OF:

**John Sherman Jumper,
CRD No. 2809649,**

Respondent.

ADMINISTRATIVE ORDER

Matter No. 17012

WHEREAS, John Sherman Jumper, CRD No. 2809649 (the “Respondent”) was a broker-dealer agent registered with the Securities Division of the Office of the Attorney General of South Carolina (the “Division”), pursuant to S.C. Code Ann. § 35-1-402, between October of 2007 and February of 2017; and

WHEREAS, the Financial Industry Regulatory Authority (“FINRA”) is a self-regulatory organization, and, between July of 2007, and February of 2017, the Respondent was associated with Alluvion Securities, LLC, CRD No. 143623, a member of FINRA; and

WHEREAS, on or about February 03, 2017, FINRA, under Disciplinary Proceeding No. 2017052704401, barred the Respondent from associating with any FINRA member in any capacity; and

WHEREAS, the Securities Commissioner of the State of South Carolina (the “Securities Commissioner”) has jurisdiction over this matter pursuant to S.C. Code Ann. § 35-1-601(a); and

WHEREAS, S.C. Code Ann. § 35-1-412(b) authorizes the Securities Commissioner to issue an order revoking, suspending, conditioning, or limiting the registration of a registrant if the Commissioner finds that the order is in the public interest and subsection (d) of S.C. Code Ann. § 35-1-412 authorizes the action; and

WHEREAS, S.C. Code Ann. § 35-1-412(c) authorizes the Securities Commissioner to issue an order censuring, barring, or imposing a civil penalty in an amount not to exceed \$10,000 for each violation, on a registrant, if the Commissioner finds that the order is in the public interest and subsection (d) of S.C. Code Ann. § 35-1-412 authorizes the action; and

WHEREAS, S.C. Code Ann. § 35-1-412(d)(5)(C) states that a person who:

is the subject of an order, issued after notice and opportunity for hearing [by] the Securities and Exchange Commission or a self-regulatory organization suspending or expelling the registrant from membership in the self-regulatory organization

is subject to discipline pursuant to S.C. Code Ann. §§ 35-1-412(a), (b), and (c); and

WHEREAS, FINRA, a self-regulatory organization, barred the Respondent from associating with any FINRA member in any capacity; and

WHEREAS, it is necessary and appropriate, in the public interest, for the protection of investors, and consistent with the purposes fairly intended by the policy and provisions of the South Carolina Uniform Securities Act, S.C. Code Ann. § 35-1-101, *et seq.*, to revoke the Respondent's registration with the Division as a broker-dealer agent and permanently bar the Respondent from the securities business in the State of South Carolina;

NOW THEREFORE, it is hereby **ORDERED** that the Respondent's registration as a broker-dealer agent in South Carolina is immediately revoked and the Respondent is permanently barred from engaging in any aspect of the securities business in the State of South Carolina; and

IT IS FURTHER ORDERED that the Respondent's failure to file a written answer, including any request for a hearing, within thirty (30) days from the date of this Order, shall be

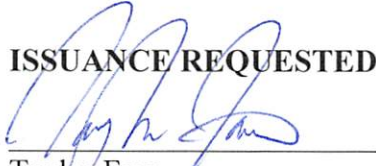
deemed a waiver by the Respondent of the right to a hearing and shall result in this Order becoming final as to the Respondent by operation of law.

ENTERED, this the 17th day of February, 2017.

ALAN WILSON
SECURITIES COMMISSIONER

By: Tracy Meyers
TRACY A. MEYERS
Deputy Securities Commissioner

ISSUANCE REQUESTED BY:


Taylor Faw
Assistant Attorney General
Securities Division
Rembert C. Dennis Building
1000 Assembly Street
Columbia, South Carolina 29201

STATE OF SOUTH CAROLINA
OFFICE OF THE ATTORNEY GENERAL
SECURITIES DIVISION

CERTIFICATE OF SERVICE AND
AFFIDAVIT OF COMPLIANCE
File Number 17012

I hereby certify that I served upon the individual/entity listed below a copy of the document indicated below and dated February 17, 2017, by serving a copy of said document upon the Securities Commissioner of the State of South Carolina and by placing a copy of said document in the United States mail, certified mail, return receipt requested, first class postage prepaid and addressed to:

Mr. John S. Jumper
65 Canterbury Lane
Eads, TN 38028

Alluvion Securities, LLC
5101 Wheelis Drive, Ste. 200
Memphis, TN 38117

Document(s): Administrative Order

Mailed February 17, 2017 from Columbia, South Carolina.

I further hereby certify, swear and affirm that, service of the above-listed entity is in compliance with Section 35-1-611, Code of Laws of South Carolina.

By: Thresechia P. Navarro
Thresechia P. Navarro
South Carolina Attorney General's Office
Securities Division
Post Office Box 11549
Columbia, SC 29211-1549
(803) 734-4731

Subscribed and sworn to before me on
this 17th day of February, 2017.

Diana A. Foster
Notary Public for South Carolina

My commission expires: 3-10-18

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